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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004939P025  
(maximum 12 characters)First Named Inventor Jonathon CheahTitle: AN ON-CHIP MONITORING SYSTEM TO MONITOR INPUT/OUTPUT OF FUNCTIONAL BLOCKSExpress Mail Label No. EV024657255USADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.   X   **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.        **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.   X   **Specification (Total Pages   18  )**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.   X   **Drawings(s) (35 USC 113) (Total Sheets   6  )**
5.   X   **Oath or Declaration (Total Pages   5  )**
  - a.   X   Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 18 completed)
    - i.        DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.        Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8.        **Nucleotide and/or Amino Acid Sequence Submission**  
(if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on:
    - i.        CD-ROM or CD-R (2 copies); or
    - ii.        paper
  - c.        Statement verifying identity of above copies

01/16/02

31044 U.S. PTO

31044 U.S. PTO  
10/052063  
01/16/02

10052063-011502

# **ACCOMPANYING APPLICATION PARTS**

9. ☒ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations (4 cited references)
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☒ Other: Certificate of Express Mailing

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
 Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_  
 (which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. \_\_\_\_\_)  
 which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. \_\_\_\_\_ (List entire chain of priority)

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority.  
**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

## **19. Correspondence Address**

☐ Customer Number or Bar Code Label \_\_\_\_\_  
 or (Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME Tarek N. Fahmi  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard  
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): Tarek N. Fahmi Registration No.: 41,402  
 Signature: [Signature] Date: 1/16/02



**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| <u>Large Entity</u> |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>   | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Code</u>         | <u>Fee (\$)</u> | <u>Code</u>         | <u>Fee (\$)</u> |  |                 |
| 105                 | 130             | 205                 | 65              | Surcharge - late filing fee or oath  |                 |
| 127                 | 50              | 227                 | 25              | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 139                 | 130             | 139                 | 130             | Non-English specification  |                 |
| 147                 | 2,520           | 147                 | 2,520           | For filing a request for ex parte reexamination                            |                 |
| 099                 | 8,800           | 099                 | 8,800           | Request for inter parties reexamination                                    |                 |
| 112                 | 920*            | 112                 | 920*            | Requesting publication of SIR prior to Examiner action                     |                 |
| 113                 | 1,840*          | 113                 | 1,840*          | Requesting publication of SIR after Examiner action                        |                 |
| 115                 | 110             | 215                 | 55              | Extension for reply within first month                                     |                 |
| 116                 | 400             | 216                 | 200             | Extension for reply within second month                                    |                 |
| 117                 | 920             | 217                 | 460             | Extension for reply within third month                                     |                 |
| 118                 | 1,440           | 218                 | 720             | Extension for reply within fourth month                                    |                 |
| 128                 | 1,960           | 228                 | 980             | Extension for reply within fifth month                                     |                 |
| 119                 | 320             | 219                 | 160             | Notice of Appeal   |                 |
| 120                 | 320             | 220                 | 160             | Filing a brief in support of an appeal                                     |                 |
| 121                 | 280             | 221                 | 140             | Request for oral hearing   |                 |
| 138                 | 1,510           | 138                 | 1,510           | Petition to institute a public use proceeding                              |                 |
| 140                 | 110             | 240                 | 55              | Petition to revive - unavoidable   |                 |
| 141                 | 1,280           | 241                 | 640             | Petition to revive - unintentional   |                 |
| 142                 | 1,280           | 242                 | 640             | Utility issue fee (or reissue)   |                 |
| 143                 | 460             | 243                 | 230             | Design issue fee   |                 |
| 144                 | 620             | 244                 | 310             | Plant issue fee  |                 |
| 122                 | 130             | 122                 | 130             | Petitions to the Commissioner  |                 |
| 123                 | 50              | 123                 | 50              | Processing fee under 37 CFR 1.17(q)  |                 |
| 126                 | 180             | 126                 | 180             | Submission of Information Disclosure Stmt                                  |                 |
| 581                 | 40              | 581                 | 40              | Recording each patent assignment per property (times number of properties) | 40.00           |
| 146                 | 740             | 246                 | 370             | For filing a submission after final rejection (see 37 CFR 1.129(a))        |                 |
| 148                 | 110             | 248                 | 55              | Statutory Disclaimer   |                 |
| 149                 | 740             | 249                 | 370             | For each additional invention to be examined (see 37 CFR 1.129(b))         |                 |
| 179                 | 740             | 279                 | 370             | Request for Continued Examination (RCE)                                    |                 |
| 169                 | 900             | 169                 | 900             | Request for expedited examination of a design application                  |                 |
| 195                 | 300             | 195                 | 300             | Publication fee for early, voluntary, or normal pub.                       |                 |
| 196                 | 300             | 196                 | 300             | Publication fee for republication  |                 |
| 194                 | 130             | 194                 | 130             | Request for voluntary publication or republication                         |                 |
| 098                 | 130             | 098                 | 130             | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |                 |
| 091                 | 1,280           | 091                 | 1,280           | Acceptance of unintentionally delayed claim for priority                   |                 |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Tarek M. FahmiSignature: [Signature] Date: 1/16/02Reg. Number: 41,402 Telephone Number: (408) 720-8300

Serial/Patent No.: Not Yet Assigned Filing/Issue Date: January 16, 2002  
 Client: Transilica, Inc.  
 Title: AN ON-CHIP MONITORING SYSTEM TO MONITOR INPUT/OUTPUT OF FUNCTIONAL BLOCKS  
 BSTZ File No.: 004939.P029 Atty/Secy Initials: TNF/JET/nmp  
 Date Mailed: January 16, 2002 Docket Due Date: \*\*\*  
 The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

|  |  |  |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)  | <input checked="" type="checkbox"/> Express Mail No.: <u>EVO24657255US</u>           | <input checked="" type="checkbox"/> Check No. <u>826</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)  | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: <u>824.00</u>                                       |
| <input checked="" type="checkbox"/> Application - Utility ( <u>18</u> pgs., with cover and abstract)   | <input type="checkbox"/> Information Disclosure Statement & PTO 40 (____ pgs.)       | <input checked="" type="checkbox"/> Check No. <u>825</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)   | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: <u>40.00</u>  |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)   | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)  | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)  | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)  | <input checked="" type="checkbox"/> Postcard   |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)   | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)   | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet   | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input checked="" type="checkbox"/> Certificate of Mailing   | <input type="checkbox"/> Response to Notice of Missing Parts                         |  |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>5</u> pgs.)   | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Disclosure Docs & Ogs & Copy of Invention Signed Letter (____ pgs.)   | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate                 |  |
| <input checked="" type="checkbox"/> Drawings: <u>6</u> # of sheets includes <u>7</u> figures   | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                    |  |
| <input checked="" type="checkbox"/> Other: <u>Copy of post card with certificate of mailing and express mail number: Request for Non-Publication</u> |  |  |

"Express Mail" mailing label number: EVO24657255US

Date of Deposit: January 16, 2002

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

Monique M. Pearson  
 (Typed or printed name of person mailing paper or fee)

[Signature]  
 (Signature of person mailing paper or fee)

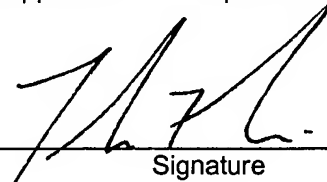
1/16/02  
 (Date signed)

10052063-011602

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**First Named Inventor Jonathon CheahTitle AN IN-CHIP MONITORING SYSTEM TO MONITOR INPUT/OUTPUT OF FUNCTIONAL BLOCKSAttorney Docket No. 004939P025

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1/16/02  
Date

  
Signature

Tarek N. Fahmi  
Typed or Printed Name

41,402  
Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 2023